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Patent  
Attorney's Docket No. 002010-685

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of	)	
James E. Audia, et al.	)	Group Art Unit: 1280
Application No.: 09/882,777	)	Examiner: B. Kifle
Filed: June 14, 2001	)	Confirmation No.: 1625
For: POLYCYCLIC $\alpha$ -AMINO- $\epsilon$ -	)	
CAPROLACTAMS AND RELATED	)	
COMPOUNDS	)	

**FOURTH INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is a Supplemental Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☐ No additional fee for submission of an IDS is required.
- ☒ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☒ A check in the amount of \$ 180.00 is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(650) 622-2300

By: Galina Yakovleva  
Galina M. Yakovleva  
Registration No. 47,192

Date: April 15, 2003



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In re Patent Application of	)	
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JAMES E. AUDIA, et al.	)	Group Art Unit: 1280
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Application No.: 09/882,777	)	Examiner: B. Kifle
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Filed: June 14, 2001	)	Confirmation No.: 1624
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For: POLYCYCLIC $\alpha$ -AMINO- $\epsilon$ -	)	
CAPROLACTAMS AND RELATED	)	
COMPOUNDS	)	
	)	

**FOURTH  
INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of the document cited is enclosed.

The following document is being submitted for the sake of completeness. Originally, U.S. Patent No. 5,618,792 was cited by the Examiner for compounds of RN 204326-24-9, in the above-referenced patent application. Upon review of US Patent No. 5,618,792 and the accompanying search results forwarded by the Examiner, Applicants believe the citation of US Patent No. 5,618,792 to be an inadvertent error, as the cited compounds were not included in said patent. Alternatively, Applicants hereby submit the following related patent.

1. U.S. Patent No. 6,150,334, issued November 21, 2000, to Gyorkos, et al.

The document is being submitted after a first Office Action on the merits but prior to the closing of prosecution, therefore under 37 C.F.R. § 1.97(c) the fee set forth in 37 C.F.R. § 1.17(p) is enclosed.

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A fee in the amount of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is enclosed.

By citing the above reference, Applicants do not acquiesce or admit that this document is "prior art" under 35 U.S.C. Applicants specifically reserve the right, where appropriate, to antedate any of the cited documents that have been submitted in this and earlier Information Disclosure Statements for this application by an appropriate showing under 37 C.F.R. §1.131, §1.604, §1.608 or any other suitable means.

To assist the Examiner, the document is listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: April 15, 2003

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Galina M. Yakovleva  
Registration No. 47,192

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